

Attorney Docket No. 1040659-991101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #7

Applicants: Mark Grimse et al.

Serial No.:09/805,646

Group Art Unit: 2122

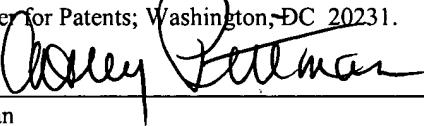
Filed: March 13, 2001

Examiner: Not Yet Assigned

Title: AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD

Certificate of Mailing Via First Class Mail on October 5, 2001

I hereby certify that this paper is being deposited with the United States Postal Service with postage sufficient for First Class Mail on the date indicated above and is addressed to: Box NO FEE AMENDMENT, Commissioner for Patents; Washington, DC 20231.


Cathy Pittman

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Transmitted herewith are nineteen (19) sheets of formal drawings for the above-identified application.



Attorney Docket No. 1040659-991101

Please address all future communications regarding this application to:

Timothy Lohse
Patent Department - HV
GRAY CARY WARE & FREIDENRICH LLP
1755 Embarcadero Road
Palo Alto, CA 94303

Please direct all telephone calls to Timothy W. Lohse at (650) 320-7426.

Dated October 5, 2001

GRAY CARY WARE & FREIDENRICH LLP

By Timothy Lohse

Timothy W. Lohse
Reg. No. 35,255
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH LLP
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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/805,646	03/13/2001	Mark Grimse	1040659-991101

CONFIRMATION NO. 4015
FORMALITIES LETTER



OC00000006560041

Gray Cary Ware & Freidenrich LLP
3340 Hillview Avenue
Palo Alto, CA 94304-1203

Date Mailed: 09/17/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

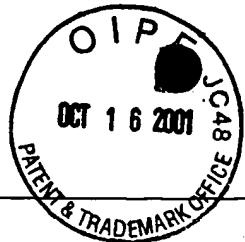
4

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/18/2001-EABUBAK1-00000079-09805646

44-FC-216

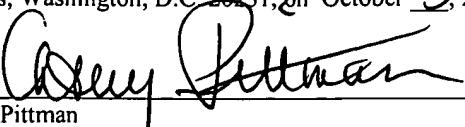
200.00 UP



Attorney Docket No.: 1040659-991101

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: BOX NO FEE, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 5, 2001.


Cathy Pittman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Grimse

Serial No: 09/805,646 Group Art Unit: 2122

Filed: March 13, 2001 Examiner: N/A.

Title: AUTOMATED PROCESS GUIDANCE SYSTEM

* *

RESPONSE TO NOTICE OF INCOMPLETE REPLY
TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice of Incomplete Reply" mailed by the United States Patent and Trademark Office on September 17, 2001, Applicant encloses the following documents in reply to the Notice:

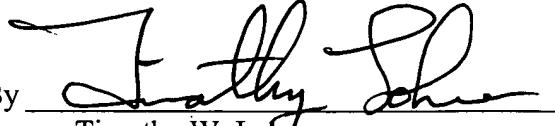
- 1) Transmittal Form (1 pg.);
- 2) Copy of Notice of Incomplete Reply (1 pg.);
- 3) Petition for Extension of Time (1 pg.);
- 4) Fee Transmittal (1 pg.);
- 5) Check #49471 for \$195.00;
- 6) Transmittal of Formal Drawings (2 pgs.)
- 7) Nineteen (19) sheets of formal drawings; and
- 8) Return postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: 10/5/2001

By 
Timothy W. Lohse
Reg. No. 35,255
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH
1755 Embarcadero Road
Palo Alto, CA 94303
Telephone: (650) 320-7426



Please type a plus sign (+) inside this box

+

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10300
PTO/SB21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

28

Application Number	09/805,646
Filing Date	March 13, 2001
First Named Inventor	Mark Grimse
Group Art Unit	2122
Examiner Name	Unknown
Attorney Docket Number	1040659-991101

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment with Appendix A attached <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Notice of Incomplete Reply to Notice to File Corrected Application Papers: <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Associate Power of Attorney, <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Copy of Notice (1 pg.); Check #491171 for \$1200; Transmittal of Formal Dwgs (2 pp) Nineteen (19) sheets formal drawings; Return postcard;
Remarks		

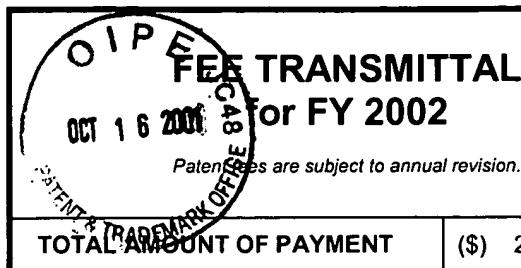
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Freidenrich
Signature	
Date	October 5, 2001

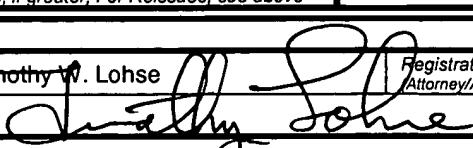
CERTIFICATE OF MAILING

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Typed or printed name	Cathy Pittman
Signature	
Date	October 5, 2001

		Complete if Known	
		Application Number	09/805,646
		Filing Date	March 13, 2001
		First Named Inventor	Mark Grims
		Examiner Name	Unknown
		Group Art Unit	2122
		Attorney Docket No.	1040659-991101
TOTAL AMOUNT OF PAYMENT (\$ 200.00)			

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																																	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 07-1896 Deposit Account Name Gray Cary Ware & Freidenrich <input checked="" type="checkbox"/> Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td>200</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="6">Other fee (specify) _____</td> </tr> <tr> <td colspan="6">* Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="6" style="text-align: right;">SUBTOTAL (3) (\$ 200.00)</td> </tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Timothy W. Lohse	Registration No. (Attorney/Agent)	35,255
Signature			Date
			October 5, 2001